

C. Standard eligibility conditions

1. All proposals must comply with the eligibility conditions set out in the Rules for Participation Regulation No 1290/2013. Furthermore, for actions under this Work Programme proposals/prize applications must comply with the **eligibility conditions** set out in this Annex, unless they are supplemented or modified in the call conditions.

A proposal/application will only be considered **eligible** if:

- (a) its content corresponds, wholly or in part, to the topic/contest description for which it is submitted
- (b) it complies with the eligibility conditions for participation set out in the table below, depending on the type of action:

	Eligibility conditions for participation ^{6,7,8}
Research & innovation actions (RIA)	At least three legal entities. Each of the three must be established in a different EU Member State or Horizon 2020 associated country. All three legal entities must be independent of each other.
Innovation actions (IA)	At least three legal entities. Each of the three must be established in a different EU Member State or Horizon 2020 associated country. All three legal entities must be independent of each other.
Coordination & support actions (CSA)	At least one legal entity established in an EU Member State or Horizon 2020 associated country.
SME instrument	At least one SME ⁹ . Only applications from for-profit SMEs established in EU Member States or Horizon 2020 associated countries ¹⁰ .

⁶ The eligibility criteria formulated in Commission notice Nr. 2013/C 205/05 ([OJEU C 205 of 19.07.2013, pp.9-11](#)) apply for all actions under this Work Programme, including for third parties that receive financial support under the action (in accordance with Article 137 of the Financial Regulation No 966/2012), notably programme cofund actions.

⁷ Natural or legal persons, groups or non-State entities covered by the Council sanctions in force are not eligible to participate in Union programmes. Please see the consolidated list of persons, groups and entities subject to EU financial sanctions, available at http://eeas.europa.eu/cfsp/sanctions/consol-list_en.htm.

⁸ Given that the EU does not recognise the illegal annexation of Crimea and Sevastopol, legal persons established in the Autonomous Republic of Crimea or the city of Sevastopol are not eligible to participate in any capacity. This criterion also applies in cases where the action involves financial support given by grant beneficiaries to third parties established in the Autonomous Republic of Crimea or the city of Sevastopol (in accordance with Article 137 of the Financial Regulation No 966/2012). Should the illegal annexation of the Autonomous Republic of Crimea and the City of Sevastopol end, this Work Programme will be revised.

⁹ 'For-profit SMEs' means micro-, small- and medium-sized enterprises, as defined in Commission Recommendation 2003/361/EC, that are not 'non-profit legal entities' as defined in the Horizon 2020 Rules for Participation Regulation No 1290/2013 (i.e. 'legal entity which by its legal form is non-profit-making or which has a legal or statutory obligation not to distribute profits to its shareholders or individual members').

¹⁰ In line with the EU 2020 strategy, the SME instrument is designed to promote competitiveness, growth and job creation of European SMEs through delivering innovations for the market place. SMEs will be

actions	No concurrent submission or implementation with another SME instrument phase 1 or phase 2 project. ¹¹
ERA-NET Cofund actions	At least three legal entities. Each of the three must be established in a different EU Member State or Horizon 2020 associated country. All three legal entities must be independent of each other. Participants in ERA-NET Cofund actions must be ‘research funders’, i.e. legal entities owning or managing public research and innovation programmes. ¹²
Pre-commercial procurement (PCP) & Public procurement of Innovative solutions (PPI) actions	At least three legal entities. Each of the three must be established in a different EU Member State or Horizon 2020 associated country. All three legal entities must be independent of each other. Furthermore, there must be a minimum of two legal entities which are ‘public procurers’ ¹³ from two different EU Member States or Horizon 2020 associated countries. Both legal entities must be independent of each other.
European Joint Programme (EJP) Cofund actions	At least five legal entities. Each of the five must be established in a different EU Member State or Horizon 2020 associated country. All five legal entities must be independent of each other. ¹⁴ Participants in EJP Cofund actions must be legal entities owning or mandated to manage national research and innovation programmes. ¹⁵

supported to enhance their innovation capacity and innovation output with growth potential. As the SME instrument aims to bridge the gap between research and development and the commercialisation of innovation, the funding of single company projects is possible. The projects need to have a clear European added value (see Article 9(3) Horizon 2020 Rules for Participation Regulation No 1290/2013).

¹¹ The SME instrument is targeted at companies that need SME instrument funding as core part of their business strategy to launch a high-potential innovation. It is a competitive scheme in which only the best ideas have a chance to succeed. Consequently SMEs with usually limited absorptions capacities, need to focus their applications but have the chance to come back due to the permanently open call. This way it should also be possible to achieve a reasonable success rate.

¹² ERA-NET Cofund actions support coordination and collaboration between Member States and their research and innovation programmes. Consequently participation in these actions is limited to entities that can fully participate in joint calls and other actions between national and regional programmes. In this regard programme owners are typically national/regional ministries/authorities responsible for defining, financing or managing research programmes carried out at national or regional level. ‘Programme managers’ are typically research councils or funding agencies or other national or regional organisations that implement research programmes under the supervision of the programme owners.

¹³ Public procurers are organisations that are contracting authority or contracting entity as defined in EU public procurement directives 2004/18/EC, 2004/17/EC, and 2009/81/EC and in EU legislation replacing those in particular Directive 2014/24/EU and Directive 2014/25/EU.

¹⁴ EJP Cofund actions support coordinated national research and innovation programmes. In line with the objective of transnational integration though a critical mass of resources, the required minimum number of participants is higher than the one provided in the Rules for Participation Regulation No 1290/2013.

¹⁵ It is appropriate that core participation in EJP cofund actions is limited to entities that can fully participate through their contribution of national and regional programmes: programme owners, typically national ministries/regional authorities responsible for defining, financing or managing programmes carried out at national or regional level or ‘programme managers’ (such as research councils or funding agencies) or other entities that implement national or regional research and innovation programmes under the mandate of the programme owners. Beyond the minimum participants, other legal entities may participate if justified by the

Framework Partnership Agreement (FPA)	At least one legal entity established in an EU Member State or Horizon 2020 associated country.
Prizes	See conditions for participation in the Rules of Contest.

Note:

1. *‘Sole participants’ formed by several legal entities (e.g. European Research Infrastructure Consortia, European Groupings of Territorial Cooperation, central purchasing bodies) are eligible if the above-mentioned minimum conditions are satisfied by the legal entities forming together the sole participant.*
2. *The eligibility conditions applying to Marie Skłodowska–Curie (MSC) actions are set out under the MSC part of this Work Programme.*
3. *The eligibility conditions of European Research Council (ERC) actions are part of a separate work programme (see the ERC Work Programme on the Participant Portal).*

nature of the action, in particular entities created to coordinate or integrate transnational research efforts, grouping funding from public and private sources.